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#### PATENT APPLICATION

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#### CERTIFICATE OF MAILING

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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT(S)

Lu and Shawer

SERIAL NO.

09/961,028

FILED

September 21, 2001

FOR

Artificial Lipoprotein Carrier System for Pharmaceutical Use

GROUP ART UNIT:

N/A

**EXAMINER** 

N/A

Commissioner for Patents Washington, D.C. 20231

### INFORMATION DISCLOSURE STATEMENT

SIR:

Pursuant to the Duty to Disclose under 37 C.F.R. §1.56(a), applicants enclose herewith a copy of an Information Disclosure Citation listing patent documents relevant to the background of the invention described and claimed in the above-identified application. Also enclosed is a copy of each of the listed documents.

Applicants respectfully request that the Examiner consider the enclosed references in determining the patentability of the claimed invention. Applicants also request that the Examiner return a copy of the enclosed Information Disclosure Citation with initials or other marks indicating that the references have been so considered.

Respectfully submitted,

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Date: September 6, 2002

G25-065 09/961,028





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INFORMATION DISCLOSURE CITATION IN AN APPLICATION Atty Ref: G25-065

Serial No: 09/961,028

Applicants: Lu and Shawer

Filing Date: 9/21/00 | Art Unit: N/A

	United States Patent Documents									
Examiner Initial	Document Number	Date	Name	Class	Subclass	Filing Date				
	4,168,308	9/18/79	Wretlind et al.			11/23/77				
	4,868,158	9/19/89	Masquelier et al.							
	4,885,172	12/5/89	Bally et al.			12/15/86				
	4,963,367	10/16/90	Ecanow			12/15/87				
	5,399,331	3/21/95	Loughrey et al.			9/17/92				
	5,578,583	11/26/96	Maranhao			2/13/95				
	5,916,596	6/29/99	Desai et al.			10/1/96				
	5,023,271	6/11/91	Vigne et al.			8/13/85				

Foreign Patent Documents									
Examiner Initial	Document Number	Date	Country	Class	Subclass	Translation			
	1 (4111001					Yes	No		
			1						

Other Documents						
Examiner Initial	Citation					

Examiner:

Date Considered:

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.